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CONFIRMATION NO. 3838

SERIAL NUMBER	FILING or 371(c) DATE	CLASS	GROUP ART UNIT	ATTORNEY DOCKET NO.		
09/755,591	01/05/2001 RULE	705	3695	00-1012		
APPLICANTS Stewart Harris, Marlboro, NJ; Azucena De Latorre, Staten Island, NY; Aniruddha Deb, Clearwater, FL; Janna Turetsky, Wayne, NJ; ** CONTINUING DATA ***** This appln claims benefit of 60/174,896 01/06/2000 ** FOREIGN APPLICATIONS ***** ** IF REQUIRED, FOREIGN FILING LICENSE GRANTED ** 02/14/2001						
Foreign Priority claimed <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No 35 USC 119(a-d) conditions met <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No Verified and Acknowledged <u>/THU-THAO HAVAN/</u> Examiner's Signature		<input type="checkbox"/> Met after Allowance Initials	STATE OR COUNTRY NJ	SHEETS DRAWINGS 21	TOTAL CLAIMS 22	INDEPENDENT CLAIMS 2
ADDRESS INNOVATION DIVISION CANTOR FITZGERALD, L.P. 110 EAST 59TH STREET (6TH FLOOR) NEW YORK, NY 10022 UNITED STATES						
TITLE Systems and methods for monitoring credit of trading counterparties						
FILING FEE RECEIVED 2176	FEES: Authority has been given in Paper No. _____ to charge/credit DEPOSIT ACCOUNT No. _____ for following:		<input type="checkbox"/> All Fees <input type="checkbox"/> 1.16 Fees (Filing) <input type="checkbox"/> 1.17 Fees (Processing Ext. of time) <input type="checkbox"/> 1.18 Fees (Issue) <input type="checkbox"/> Other _____ <input type="checkbox"/> Credit			